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IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Richard W. Kay, Gregory B. Temkin, Matthew L. Olson and David J. Hendrickson

Serial No.: 09/823,218

Examiner:

Not Yet Assigned

Filing Date: March 29, 2001

Group Art Unit: 2611

Title: DIGITAL CONTENT DELIVERY SYSTEM TRANSACTION ENGINE

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ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97 (b), or
(Within three months of filing national application; or date of entry of International application;
or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97 (e), or
☐ a \$180 fee under 37 CFR 1.17 (p), or
(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)
- ☐ under 37 CFR 1.97 (d) together with:
☐ Statement under 37 CFR 1.97 (e), or
☐ a \$180.00 fee set forth in 37 CFR 1.17 (p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of

the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation together with
copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s)
may be material to the examination of this application and for which there may be a duty to disclose in accordance
with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A
duplicate copy of this sheet is enclosed.

20575

PATENT TRADEMARK OFFICE

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November 14 2002

Corinne F. Ryan
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Respectfully submitted,

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PATENT APPLICATION

Atty. Docket No.: 8030-2

Serial No. 09/823,218

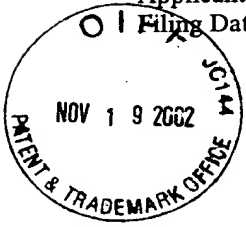
Applicant:

Richard W. Kay, Gregory B. Temkin, Matthew L. Olson and David J. Hendrickson

Filing Date:

March 29, 2001

Group: 2611



INFORMATION DISCLOSURE CITATION FORM PTO-1449 (Modified)

U.S. PATENT DOCUMENTS

<u>Exam Init</u>	<u>Ref</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub- Class</u>
_____	_____	6,189,008	02/13/01	Easty et al.	707	10
_____	_____	6,009,465	12/28/99	Decker et al.	709	219
_____	_____	5,838,314	11/17/98	Neel et al.	345	327
_____	_____	5,521,631	05/28/96	Budow et al.	348	7

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FOREIGN PATENT DOCUMENTS

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OTHER DOCUMENTS

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